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## Genetics of Renal Tract Malformations

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### Introduction

Renal tract malformations (RTMs) (*i.e.* those affecting the kidneys and/or lower urinary tract) represent deviations from normal development. They are common, for example being diagnosed by antenatal ultrasonography in 0.16% births, representing one tenth of all anomalies diagnosed in this manner.<sup>1</sup> Normal development is a carefully choreographed process, the instructions for which are contained in an individual's genes. Humans have approximately 30,000 pairs of genes packaged on 23 pairs of chromosomes (22 autosomes and one sex chromosome pair); additionally, each person has 37 genes within mitochondria. When a gene is 'expressed' it provides instructions to produce a particular protein or, in some cases, a functional RNA molecule. Renal tract development is characterised by changing patterns of expression of many hundreds of genes, from the inception of the kidney,<sup>2</sup> ureter<sup>3</sup> and bladder,<sup>4</sup> through the growth of these rudiments into functional, mature organs. Indeed, in the last two decades it has been established that human RTMs can be caused by mutation of many genes.<sup>5, 6</sup> Such knowledge is beginning to impact on clinical practice, giving families of affected individuals reasons why these sometimes clinically-devastating anomalies arose.<sup>5</sup> Many of the genes essential for renal tract development also operate in other organ systems, proving one explanation why one third of RTMs are 'syndromic', occurring in association with specific patterns of extra-renal malformations.<sup>1, 6</sup> In this chapter, to introduce readers to this relatively new field of the genetics of human RTMs, we discuss some general genetic concepts as well as mentioning specific diseases.

### Mendelian Inheritance

Some mutations are inherited from one or both of an individual's parents, explaining why genetic diseases can recur in any one family (Fig. 1). This is called 'Mendelian inheritance' after Gregor Mendel, the 19th century Austrian monk who studied inheritance patterns of pea plant characteristics. In routine clinical practice, many RTMs are seemingly sporadic or affect more than one individual within a family in a complex (non-Mendelian) pattern, making it difficult to discern a precise genetic contribution. Having said this, some RTMs are inherited in a Mendelian manner and Table 1 lists a selection of them. Familial genetic 'linkage' analysis is a powerful technique for identifying genomic regions associated with a condition in affected family members. It has led to the discovery of many RTM genes and continues to be an important technique in genetic research. For some syndromes featuring RTMs, mutations in more than one gene can cause an identical clinical disease or 'phenotype'. Such syndromes include Alagille, branchio-oto-renal and Fraser syndromes (Table 1). In these cases, the gene products either have a related function or form critical components of a common biological pathway operating during organogenesis.

### Autosomal Recessive Disease

A disease is 'autosomal recessive' (AR) when mutations in both copies, or 'alleles', of a gene (*i.e.* one on each of a chromosome pair) are required to cause disease (Fig. 1, left-hand panels). Some AR disorders associated with RTMs are listed in Table 1. These mutations are usually inherited from carrier, or 'heterozygous', parents who have one normal copy and one mutated copy of the gene, with a 1 in 4 risk of recurrence of the disease in a future child. AR mutations usually cause a 'loss of function' of the gene such that an affected individual has an absent or very low level of the molecule normally encoded by the gene. Carriers are usually well because having just one functional gene copy is sufficient to maintain healthy cells. Although the genome of each 'normal' human carries numerous loss-of-function mutations in different genes, each AR disease is rare because of the low probability that two individuals, each carrying a mutation for a particular gene, will meet and procreate. On the other hand, AR diseases are commoner in consanguineous relationships where both parents may carry a mutation inherited from a common ancestor.

During the initiation of the embryonic metanephric kidney, the ureteric bud, a branch from the distal end of the Wolffian (mesonephric) duct, grows into adjacent intermediate mesoderm and these two tissues interact, respectively ultimately forming ureteric/collecting duct epithelia and kidney nephrons. The FRAS gene family code for basement membrane proteins which coat the external surface of the ureteric bud and they are required

for tissue inductions.<sup>7</sup> Mutations of these genes lead to renal and ureteric agenesis in the often fatal Fraser syndrome, an AR disorder which also features hidden eyes ('cryptophthalmos'), soft tissue fusion of fingers and toes ('syndactyly'), laryngeal anomalies and ambiguous genitalia.<sup>8</sup> Of note, the addition of nephrogenic growth factors rescues renal agenesis in an animal model of the human syndrome.<sup>7</sup>

Mode of inheritance	Autosomal Recessive	Autosomal Dominant	X-Linked Recessive
<b>Offspring outcomes</b>	<p>Carrier      Carrier</p> <p>Affected      Carriers      Unaffected</p>	<p>Affected      Unaffected</p> <p>Affected      Unaffected</p>	<p>Carrier Female      Male</p> <p>Carrier Female      Affected Male      Unaffected Female      Unaffected Male</p>
<b>Is the mutation a result of transmission from parents or can it occur de novo?</b>	Usually inherited	Either is possible. The proportion of new mutations increases with increased severity of condition	Either is possible. Often a new mutation has occurred in the mother of an affected male
<b>Penetrance of the mutation</b>	Usually 100% in those carrying two mutant alleles/genes. Rare for carriers (i.e. those with just one mutant allele/gene) to have clinical features	Often less than 100% in those carrying the mutant allele/gene.	Usually 100% in males carrying a mutant allele/gene. Carrier females may have disease with severity depending on pattern of X-inactivation
<b>Variability of expression</b>	Usually less than in dominant disease	Usually significant variability in affected individuals, even in a single kindred	Usually less than in dominant disease

**Fig. 1. Summary of characteristics of the three main types of Mendelian inheritance.**

Key to Fig. 1. 'Penetrance' refers to the observation that the proportion of those affected by the clinical disorder is less than 100% of expected based on whether individuals carry a the mutation. 'Variability of expression' refers to the observation that affected individuals have a spectrum of disease severity. With respect to RTMs, modifying genes and yet-to-be defined alterations of fetal environment may contribute to the variability in the occurrence and/or severity of the genetic disease.

The uro-facial, or Ochoa, syndrome is a good example of an AR disease which affects the bladder.<sup>9</sup> Here, although the bladder begins to form, affected individuals suffer from congenital bladder dysfunction characterised by failure of complete voiding accompanied by upper tract dilatation. A diagnostic clue, evident in the clinic, is that such individuals have a characteristic grimace when smiling. Some individuals with the syndrome have been found to have mutations of a gene called HPSE2 which codes for heparanase.<sup>2</sup> This molecule is predicted to modify growth factor signalling and/or cell adhesion during development and must somehow facilitate detrusor maturation and/or contraction. The gene is expressed in bladder muscle as well as in the central nervous system.<sup>10</sup> Bladders in the uro-facial syndrome share some biological similarities with those found in the prune belly syndrome e.g. failure to empty and abnormal walls with diverticula. Prune belly syndrome can also be inherited in an AR manner<sup>11</sup> and, it can be caused by mutation of the CHRM3 gene which encodes the M3 acetylcholine receptor which is required for detrusor contraction.

## Autosomal Dominant Disease

'Autosomal dominant' (AD) diseases arise when a mutation in only one of a gene pair is sufficient to cause biological dysfunction. Some AD syndromes associated with RTMs are listed in Table 1. AD conditions can be inherited from an affected parent, with a 1 in 2 risk of recurrence in future children (Fig. 1, central panels). It is often observed that, in AD disorders, a parent carrying the mutation may be clinically unaffected or have only a mild version of the disease,<sup>12</sup> situations respectively called 'non-penetrance' and 'variable expressivity'. Both phenomena may be explained by: 1. the modifying actions of poorly-recognised environmental factors affecting the fetus, such as variations in maternal protein and vitamin intake;<sup>13</sup> 2. the presence in the individuals of variants of other genes which affect renal tract development. As an example of the latter, Weber *et al*<sup>14</sup> showed that the severity of RTMs within a family with mutation of the PAX2 (Paired box-2) gene was increased by the presence of a variant in another gene, SIX1. A common feature of AD disease is the discovery that a mutation is apparently new ('de novo') in the affected child, likely having occurred during production of the egg or sperm. Alternatively, a clinically unaffected parent could be a genetic 'mosaic', carrying a de novo mutation which

arose after the start of his or her own development, resulting in colonies of cells either having or lacking the mutation. If such abnormal colonies give rise to the germ cells, the mutation could be recurrently passed on so that all of an offspring's cells carry the genetic lesion.<sup>15</sup>

**TABLE 1. Some Defined Genetic Syndromes Featuring RTMs**

Syndrome name (Acronym; OMIM number)	RTMs	Extra-renal features	Mutated gene(s) (function of encoded protein)
<b>Autosomal Recessive</b>			
Sanzoni anaemia (227650)	EK -K, HYD, RA, RD, RH, VUR	Bone marrow failure, microcephaly, MR, rachus malformation, tumour predisposition	15 genes implicated thus far (DNA repair)
Frazer (219000)	RA, RD	Cryptophthalmos, laryngeal stenosis, Mullerian duct malformation, syndactyly.	FRAS1, FRAS1 and FREM2 (basement membrane proteins)
Smith-Leml-Opitz (270400)	RD	Ambiguous genitalia, cataracts, cleft palate, CHD, facial dysmorphology, microcephaly, MR, poly/syndactyly	DHCR7 (sterol biosynthesis)
Jro-facial or Ochoa (236730)	Bladder dysmorphology and voiding dysfunction, HYD, VUR	Abnormal expression when smiling, constipation	HPSE2 (heparanase modified)
<b>Autosomal dominant</b>			
Alagille (110450)	PUJO, RH, RD, renal tubular acidosis	Bile duct dysmorphogenesis, CHD, skeletal abnormalities.	JAG1 and NOTCH2 (signalling pathway)
Branchio-oto-renal (BOR; 113860)	HYD, PUJO, RA, RD, RH, VUR	Branchial fistula and cyst, deafness, dysplastic ear, lacrimal duct agenesis, preauricular pit	FYAT and SIX1 (regulate gene expression)
Coloboma, heart anomaly, choanal atresia, retardation, genital and ear (CHARGE; 214800)	CK -K, HYD, RA, VUR	CHD, coloboma (various eye malformations), choanal atresia, ear anomalies, cryptorchidism, deafness, genital abnormalities, rachooesophageal fistula.	CHDV (regulates gene expression)
Hanc-fool-genital (140000)	HYD, hypotonia, PUJO, VUR	Hypoplastic thumb, finger/toe, Mullerian duct malformation	HOXA13 (transcription factor)
Hypoparathyroidism, deafness and renal (HDR; 146255)	RD, VUR	Deafness, hypocalcaemia	CAT43 (transcription factor)
Okihiro (307323)	Bladder diverticula, EK IIK, RA, RD, VUR	CHD, deafness, Duane (cranial nerve VI) anomaly, thumb and arm malformations	SAF4 (transcription factor)

Pallister-Hall (146610)	HYD, RA, RD, RH, VUR	Blind oligodys, hypothalamic hamartoma, imperforate anus, polydactyly.	<i>GLUD</i> (transcription factor)
Renal tubular acidosis (120320)	HYD, RD, RH, VUR	Optic nerve chiasm, deafness	<i>PAX2</i> (transcription factor)
Renal cysts and diabetes (RCAD) (137920)	HK, HYD, prune belly syndrome, RD, RH	Diabetes mellitus, polyuria, hypogonadism, Mullerian duct malformations	<i>HNF1B</i> (also called PTF1a) (transcription factor)
Schinzel-Giedion (289150)	HYD, VUR	CHD, MR, seizures, skull dysmorphology, hypertrichosis	<i>SETBP1</i> (unknown)
Townes-Brock (107480)	DK, HK, RD, VUR, ventral valves	Anal atresia, CHD, deafness, ear and thumb malformations	<i>RAI1</i> (transcription factor)
<b>X-linked</b>			
Kallmann (308700)	RA, RD, VUR	Anosmia, hypogonadotropic hypogonadism	<i>KAL1</i> (cell adhesion/migration)
Otopalatodigital spectrum (311000)	HYD, hypospadias, VUR, Urethral and vesicular fistulae, clubfoot	Clotting, deafness, skeletal dysplasia	<i>FLNA</i> (focal adhesion-associated cytoskeleton)
Simonec-Goloski-Bernard (312870)	DK, HYD, hypospadias, nephromegaly, RD, renal tumours	CHD, cryptorchidism, diaphragmatic hernia, MR, overgrowth, polydactyly, tumour (read suspicion)	<i>GPC3</i> (heparan sulphate proteoglycan)

Within cohorts affected by apparently non-syndromic RTMs, a proportion are caused by AD mutations. In groups of individuals with renal hypoplasia or dysplasia, up to 20% have been found to have mutations in PAX2 or HNF1B (Hepatocyte nuclear factor 1B).<sup>14,16,17</sup> Both genes are prominently expressed in the ureteric bud/collecting duct lineage and code for transcription factors, proteins which bind to DNA and regulate the activity of numerous target genes. A key function of the PAX2 protein is to prevent excess apoptotic death of precursor cells in the metanephros, and thus PAX2 mutations usually cause renal hypoplasia i.e. small kidneys with a severe nephron deficit. The HNF1B protein stimulates epithelia to differentiate and prevents uncontrolled proliferation and cyst formation. Thus the multicystic dysplastic kidney is a common RTM associated with HNF1B mutation. As noted in Table 1, mutations of both genes can result in extra-renal disease (diabetes mellitus with HNF1B mutation and blindness with PAX2 mutation); importantly, however, these features are often absent in the patients presenting to Urologists and Nephrology clinics. The proportion of RTMs attributed to Mendelian mutations is likely to increase due to wider availability of genetic testing and the continuing discovery of new genes associated with RTMs.

## X-linked Inheritance

Conditions caused by mutations in genes on the X chromosome are 'X-linked' and some such syndromes associated with RTMs are listed in Table 1. Females (XX) have two copies of an X-linked gene whilst males (XY) have only one (Figure 1, right-hand panels). This means a male with an X-linked mutation will be affected. Females carrying an X-linked condition have one mutated and one normal copy of the gene. Some X-linked mutations are recessive, only causing disease in males, while others are dominant and affect both males and females who carry one mutated allele. One copy of the X chromosome in each of a female's cells has undergone random inactivation in early embryogenesis, a process called 'lyonization' after the geneticist Mary Lyon who first proposed the phenomenon. This means that females carrying a mutation of a gene on one X chromosome are in fact mosaics for different proportions of cells expressing the normal or the mutated gene. This idea helps to explain why such females may be less, or more, affected by the associated genetic disease.

An example of an X-linked recessive disorder featuring RTMs is provided by the X-linked form of Kallmann syndrome. As in Fraser syndrome, discussed above, the mutated gene normally codes for a protein which coats the surfaces of embryonic kidney epithelial cells. When the protein is absent, renal agenesis is the result, usually manifest as a solitary functioning kidney.<sup>18</sup> Other features of the syndrome are hypogonadotropic hypogonadism (manifest by failure to proceed through puberty) and anosmia (absent sense of smell) and both are explained by the fact that the gene is also expressed in the forebrain both in nerves generating hypothalamic hormones as well as in olfactory neurones.<sup>19</sup>

**TABLE 2. Gross Chromosomal Abnormalities Associated with RTMs**

Syndrome name; chromosome affected [OMIM number]	RTMs (collective frequency, if known)	Selected extra-renal features
Cat eye; tetrasomy 22q (115470)	HYD, RA, RD, VUR (unknown)	Anal atresia-fistula, CHD, coloboma, (eye malformations), MR
DiGeorge or velocardiofacial; 22q <sup>-1</sup> deletion (188400)	DK, I, K, HYD, RA, RD, VUR (30%)	CHD, cleft palate, facial dysmorphology, hypocalcaemia, immune deficiency, MR
Down (iso)my 21 (190885)	CHOC, DK, K, HYD, PUJO, RA, RD, VUR (15%)	CHD, facial dysmorphology, dysmorphic features, hypotonia, gastrointestinal malformations, haematological malignancies, MR
Edward, trisomy 18	CHOC, DK, -K, RA, RD (70%)	CHD, facial dysmorphology, MR, microcephaly
Miller-Dieker (severe) 17p <sup>-1</sup> deletion (247201)	DK, RA, RD, VUR (unknown)	CHD, MR, facial dysmorphology, genital hypoplasia
Patau, (iso)my 13	CHOC, DK, -K, RD, (70%)	Cleft lip+palate, holoprosencephaly, MR, polydactyly
Turner; monosomy X or X+X rearrangement	DK, I, K, PUJO, RD (70%)	CHD, facial dysmorphology, gonadal dysgenesis, short stature
Williams-Brown: 7q11 deletion (194050)	Bladder diverticulae and overactivity, RA, renal artery stenosis, VUR (80%)	CHD, facial dysmorphology, hypocalcaemia, MR, short stature
Wolf-Hirschhorn: 4p deletion (194180)	Bladder extrophy, HK, RA, RD, VUR (20%)	CHD, deafness, facial dysmorphology, hypotonia, MR, short stature

"CHD = congenital heart disease; EK = ectopic kidney; HK = hypoplastic kidney; HYD = hydronephrosis; OMIM = Online Mendelian Inheritance in Man; PUJO = pelviureteric junction obstruction; RA = renal agenesis; RD = renal dysplasia; VUR = vesicoureteric reflux; MR = mental retardation "

## Chromosomal Abnormalities and RTMs

RTMs occur in around one third of individuals with gross chromosomal abnormalities (deletions, duplications or rearrangements), with some commoner examples listed in Table 2. In each of these cases, the structure and thus function of numerous genes may be compromised. At least for multicystic dysplastic kidneys, such gross genetic lesions are more likely to be found when the RTM is bilateral and when extra-renal anomalies are present.<sup>20</sup> Because the biological effects of gross chromosomal abnormalities can be devastating, they often appear as de novo events, absent in parents of affected individuals. Such new mutations may be generated during the production of the egg or sperm which then goes on to make an individual, or at the very start of embryonic development, so that all cells in the body carry the mutation. Detailed analysis of chromosomal copy number variation (CNV) undetectable by classical cytogenetic techniques is now possible by array comparative genomic hybridisation or simply 'microarray'. Using this technique: CHD7 (Chromodomain-helicase-DNA-binding protein 7) was implicated in CHARGE (coloboma, heart anomaly, choanal atresia, retardation, genital and ear) syndrome;<sup>21</sup> a recurrent microdeletion on the long arm of chromosome 17 including HNF1B was found to be associated with features of RCAD (renal cysts and diabetes) syndrome with neurocognitive disability;<sup>22</sup> and 22q11 duplications were associated with bladder extrophy.<sup>23</sup>

## Genetic Complexity and Human RTMs

Non-syndromic primary VUR is a common condition, affecting at least 0.5-1% of young children. The incidence of reflux is increased in first degree relatives and families are commonly seen with two or more affected siblings.<sup>24</sup> Frustratingly, we lack precise genetic explanations for the great majority of individuals with this condition. Most likely, whether or not an individual is born with primary VUR will be determined by more than one genetic mechanism. For some families, the disorder is Mendelian,<sup>25</sup> and will be caused by mutation of any one of the many genes which drive ureteric growth. As is evident from Table 1, VUR has been reported in many syndromes, suggesting that it can result from mutation of any one of numerous genes active in renal tract development. Indeed, rare individuals with VUR who lack extra-renal anomalies have been reported with

mutations of genes which direct the initiation and differentiation of the ureter.<sup>26,27</sup> Perhaps the proportion of VUR attributed to Mendelian mutations will increase due to the continuing discovery of new genes associated with RTMs combined with 'next generation' genetic technologies which rapidly sequence coding regions from an individual's whole genome. In other individuals, VUR may be the result of the interaction of minor variations, or 'polymorphisms', of several genes coding for molecules which act in common or interacting pathways. Using groups of several hundred of affected individuals, attempts are being made using 'GWAS' (genome-wide association studies) to address this issue but, thus far, results have been disappointing.<sup>28</sup> Probably, much larger collections of patients will be needed to be analysed to generate results with the statistical power to implicate specific gene variants, as has been accomplished with other kidney disorders.<sup>29</sup>

## Acknowledgements

The authors acknowledge support from the NIHR Manchester Biomedical Research Centre, the Wellcome Trust, Kids Kidney Research and Kidney Research UK.

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